MINING LARGE SAMPLE SURVEY, 2004

When contacting Stats SA please quote this number

Please correct any errors in the above address label

Purpose of the survey
The Mining Large Sample Survey is a periodic survey covering the activities of a sample of private and public establishments operating in the mining sector of the South African economy. Results of the survey are used to compile estimates of the Gross Domestic Product (GDP) and its components, which are used to develop and monitor government policy. These statistics are also used by the private sector in analyses of comparative business and industry performance.

Collection authority
The information required is collected under Section 16 of the Statistics Act, 1999 (Act No. 6 of 1999). Your co-operation is sought in completing and returning this questionnaire by the due date. The provision of the information sought is compulsory.

Confidentiality
According to Section 17 of the Statistics Act, 1999 (Act No. 6 of 1999), your completed questionnaire remains confidential to Statistics South Africa (Stats SA). Your responses are not shared with any individual institution e.g. South African Revenue Services.

Due date
Please complete this questionnaire and return it in the business reply service envelope or fax it to Stats SA by 30 September 2004. Stats SA recommend that you retain a copy to consult in the event of a query.

Help available
If you have problems completing this questionnaire, or find that it will be difficult for you to meet the due date, please contact us at:

- Telephone number: (012) 310-8230 / 8112
  310-8234 / 8065 / 8639
- Fax number: (012) 310-8366 / 8598 / 8301
- E-mail address: MiningLSS@statssa.gov.za

Stats SA recommend that you retain a copy to consult in the event of a query.

Help available
If you have problems completing this questionnaire, or find that it will be difficult for you to meet the due date, please contact us at:

- Telephone number: (012) 310-8230 / 8112
  310-8234 / 8065 / 8639
- Fax number: (012) 310-8366 / 8598 / 8301
- E-mail address: MiningLSS@statssa.gov.za

Person whom Stats SA should contact if any queries arise regarding the completed questionnaire

<table>
<thead>
<tr>
<th>Name</th>
<th>Status</th>
<th>Name</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Received</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Checked</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Captured</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Edited</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Please note

- All figures should exclude value added tax (VAT)
- Report all monetary values in thousands of rands (R '000). Where the values in your accounts are not expressed in thousands of rands, round them off to the nearest thousand rand
- Only the South African-based activities of the enterprise shown on the label should be included on the questionnaire
- If exact figures are not available, provide careful estimates
- The items listed under ‘Include’ and ‘Exclude’ are only examples and should not be taken as a complete list of items to be included or excluded
- Information reported in this questionnaire should comply with South African accounting standards

Part 1 – General Information

1. Location of the establishment

   Registered name ..........................................
   Trading name ..............................................
   Street number ..........................
   Street name ............................
   Municipality or city/town ............
   Postal Code ............................

2. Indicate your type of ownership or type of organisation

   Individual .................................................................................................................... ........
   Partnership ................................................................................................................... ........
   Public company ................................................................................................................ ...
   Private company ............................................................................................................... ...
   Public corporation ............................................................................................................ ...
   Close corporation ................................................................................................................
   Co-operative society ........................................................................................................ 
   Government enterprise ....................................................................................................
   Non-profit institution ....................................................................................................
   Joint Venture ................................................................................................................. ......
   Other (specify) ............................................................................................................... ........
3. **Period covered by this questionnaire**

*Note*
- This questionnaire must be completed for your financial year **ended on any date** between 1 July 2003 and 30 June 2004

<table>
<thead>
<tr>
<th>From</th>
<th>To</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Indicate the period covered by this questionnaire ..............

Indicate any changes in this enterprise that occurred since 1 July 2003, e.g. change of financial year, takeover, merger, new location, expansion or closure

4. **Indicate the main kind of activity carried out by this enterprise (tick one box only)**

- **Mining of coal and lignite** .................................................................
- **Extraction of crude petroleum and natural gas** ........................................
- **Mining of gold and uranium ore** ...........................................................
- **Mining of other metal ores**
  - **Iron** .................................................................................................
  - **Chrome** .............................................................................................
  - **Copper** ..............................................................................................
  - **Manganese** ........................................................................................
  - **Platinum group metals** .........................................................................
  - **Other metal ores (e.g. aluminium, lead, zinc, tin and vanadium)** (specify) .................................................................
## Stone quarrying, clay and sand pits

- **Dimension stone (e.g. Granite, marble, slate and wonderstone)**
- **Limestone and lime works**
- **Silica**
- **Stone crushing**
- **Clay pits**
- **Sand pits**
- **Sand-pumping**
- **Other stone quarrying, e.g. dolomite, gypsum, kaolin and quartzite (specify)**

## Mining of diamonds (including alluvial and marine diamonds)

## Mining of chemical and fertilizer minerals:

- **Phosphates**
- **Other e.g. barytes, rutile and fluorspar (specify)**

## Extraction and evaporation of salt

## Other mining and quarrying:

- **Precious and semi-precious stones, e.g. tiger’s eye (excluding diamonds)**
- **Asbestos**
- **Other mining and quarrying, e.g. andalusite, kieselguhr, quartz, mica, talc and Feldspar (specify)**

## Service activities incidental mining of minerals

## Other (Specify)
Part 2 – Employment

5. Number of employees who received salaries and wages for the last pay period ended on or before 30 June 2003

Definitions

- **Employee** are those people employed by the business/organisation who received payment (in salaries, wages, commission, piece rates or payments in kind) for any part of the reference period (excluding independent contractors)
- **Full-time employees** are those employees (permanent, temporary or casual) who usually work the agreed hours for a full-time employee in a specific occupation. If agreed hours do not apply, employees are regarded as full-time employees if they work 35 hours or more per week
- **Part-time employees** are those employees (permanent, temporary or casual) who are not full-time employees as defined above or who normally work less than 35 hours per week

<table>
<thead>
<tr>
<th>Gender</th>
<th>Full Time</th>
<th>Part Time</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Include

- Directors (who received a salary, who did not receive a salary, who received a fee)
- Executive, managerial, casual and any other employee who received payment but who are not included on the main payroll
- Employees who were absent for part or all of the reference period but who received payment through the payroll, including those who commenced or terminated employment
- Employees absent on paid leave or on leave without payment
- People paid by commission only, with no salary or wage component
- Employees who received payment through the payroll from the Compensation Commissioner’s Compensation Fund
- Employees based abroad but paid from South Africa

Exclude

- Subcontractors and consultants who are self employed
- Any employees not paid during the reference period
- Employees based in South Africa but paid from abroad
## Part 3 – Income items

### 6. Sales and transfers-out (report net value after discounts given)

**Include**
- Sales of goods whether or not mined by this establishment
- Export sales
- For long-term contracts include progress payments billed
- Delivery and/or installation charges not separately invoiced to customers
- Export freight charges and transport-out

**Exclude**
- Net profit on sales of fixed assets (include in Question 17)
- Interest received (Include in Question 13)
- Rent leasing and hiring income

---

### 7. Income from services rendered (report net value after discounts given)

**Include**
- Income and fees from repairs or services
- Amounts received for installations, erections or assembly
- Income from subcontracts and commission

**Exclude**
- Net profit on sales of fixed assets
- Interest received
- Export freight charges and transport-out

---

### 8. Income from mineral rights leases

---

### 9. Income from rental and leasing of land, buildings and other structures

---

### 10. Income from operational leasing and hiring of plant, machinery and equipment

---

### 11. Income from operational leasing and hiring of motor vehicles and other transport equipment

**Exclude**
- Payments received under hire purchase arrangements
12. Mining leases received ................................................................. R ’000

13. Interest received .................................................................................

<table>
<thead>
<tr>
<th>Include</th>
<th>Exclude</th>
</tr>
</thead>
<tbody>
<tr>
<td>Interest on loans and advances made to related and unrelated enterprises</td>
<td>Capital repayments received</td>
</tr>
<tr>
<td>Interest on finance leases</td>
<td></td>
</tr>
<tr>
<td>Earnings on discounted bills</td>
<td></td>
</tr>
<tr>
<td>Interest from deposits in banks and non-bank financial institutions</td>
<td></td>
</tr>
<tr>
<td>Interest on decentralisation benefits</td>
<td></td>
</tr>
<tr>
<td>Interest on debentures</td>
<td></td>
</tr>
<tr>
<td>Interest on derivatives</td>
<td></td>
</tr>
</tbody>
</table>

14. Dividends received...................................................................... R ’000

15. Royalties, copyright, trade names, trade and patent rights received ........................................ R ’000

<table>
<thead>
<tr>
<th>Include</th>
</tr>
</thead>
<tbody>
<tr>
<td>Payments received under licensing arrangements</td>
</tr>
</tbody>
</table>

16. Subsidies and incentives received from government ............................................. R ’000

17. Profit on assets, investments and liabilities sold or revalued ........................................ R ’000

<table>
<thead>
<tr>
<th>Include</th>
</tr>
</thead>
<tbody>
<tr>
<td>Profit from the sale or realisation for cash or revaluation of assets at a value higher than book value, if credited</td>
</tr>
<tr>
<td>Profit from the redemption, liquidation or revaluation of liabilities, at a value lower than book value, if credited, e.g. foreign exchange profits</td>
</tr>
<tr>
<td>Net profit on foreign loans as a result of variations in foreign exchange rates/transactions</td>
</tr>
<tr>
<td>Net profit on share trading</td>
</tr>
<tr>
<td>Capital profit</td>
</tr>
</tbody>
</table>
18. Other income ....................................................................................................................

Include
• Sundry income

19. Total income (Question 6 to Question 18) .......................................................................

Part 4 – Stock Items

Opening stock items

20. Opening stocks of minerals, metals and ores, sand, stone and gravel .........................

21. Other opening stocks ........................................................................................................

Include
• Consumables etc.

22. Total opening stocks (Question 20 plus Question 21) ....................................................

Closing stock items

23. Closing stocks of minerals, metal and ores, sand, stone and gravel ............................

24. Other closing stocks .........................................................................................................

Include
• Consumables etc.

25. Total closing stocks (Question 23 plus Question 24) .....................................................

Part 5 – Expenditure items
26. Purchases

**Include**
- Raw materials, components, etc used in production (including consumables)
- Fuels for off-road vehicles, e.g. forklifts, mobile plant and quarry dump trucks
- Purchases and transfers-in of factored goods, intermediate products and partially completed goods from related enterprises

**Exclude**
- Subcontract and commission expenses
- Capitalised purchases of materials for capital work done by own employees

27. Advertising expenditure

28. All repair and maintenance expenditure

**Include**
- Plant and equipment
- Off road vehicles

**Exclude**
- Motor vehicles (included in Question 36)

29. Bank charges

30. Depreciation provided for during this financial period

**Note**
- Report depreciation or provision for depreciation as recorded in your financial or management accounts on all assets, such as buildings, machinery, equipment, office equipment (including computers) and motor vehicles

31. Excise and customs duties paid

**Include**
- Only the tax portion of docking costs, harbour costs, landing fees and other import costs

**Exclude**
- Company tax
32. Insurance premiums paid .................................................................

33. Interest paid .....................................................................................

**Include**
- Interest on bank loans
- Interest on loans made to this enterprise from related and unrelated enterprises
- Interest paid in respect of finance leases
- Interest paid on loans from partners
- Expenses associated with discounted bills

**Exclude**
- Other bank charges
- Capital repayments

34. Losses on assets or investments sold or revalued (not related to normal trade) ......

**Include**
- Inclusive of foreign exchange losses
- Losses from the sale or realisation for cash or revaluation of assets or investments at a value lower than book value, if debited, and stock losses
- Provision for bad debts and bad debts written off
- Assets written off
- Losses on share trading

35. Mining leases paid ...........................................................................

36. Motor vehicle running expenditure including parts and fuel ...................

**Include**
- Motor vehicles

**Exclude**
- Plant and equipment
  (included in Question 28)
- Off road vehicles
  (included in Question 28)

37. Operational leasing and hiring of plant, machinery, equipment and vehicles ..........

38. Paper, printing and stationery expenditure ..............................................

R '000
<table>
<thead>
<tr>
<th></th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>39.</td>
<td>Payments for water and electricity services (excluding property taxes)</td>
</tr>
<tr>
<td>40.</td>
<td>Payments to sub-contractors (including employment brokers) in respect of</td>
</tr>
<tr>
<td></td>
<td>refining, prospecting, rehabilitation work and other mining activities</td>
</tr>
<tr>
<td>41.</td>
<td>Please state the total expenditure during the financial year in respect of</td>
</tr>
<tr>
<td></td>
<td>research and experimental development undertaken</td>
</tr>
<tr>
<td>42.</td>
<td>Postal, courier and telecommunication services expenditure</td>
</tr>
<tr>
<td>43.</td>
<td>Property taxes paid</td>
</tr>
<tr>
<td>44.</td>
<td>Railage and transport-out</td>
</tr>
<tr>
<td>45.</td>
<td>Regional services council levies</td>
</tr>
<tr>
<td>46.</td>
<td>Rental of land, buildings and other structures</td>
</tr>
<tr>
<td>47.</td>
<td>Royalties, copyright trade names and patent rights paid</td>
</tr>
<tr>
<td>48.</td>
<td>Security services (including IT security services)</td>
</tr>
<tr>
<td>49.</td>
<td>Severance, termination and redundancy payments paid</td>
</tr>
<tr>
<td>50.</td>
<td>Staff training (skill development levy)</td>
</tr>
</tbody>
</table>

**Include**
- Payments of accumulated leave made to employees who finished work during the reference period
- Redundancy payments intended to compensate employees for loss of employment

<table>
<thead>
<tr>
<th></th>
<th>R ’000</th>
</tr>
</thead>
<tbody>
<tr>
<td>40.</td>
<td></td>
</tr>
<tr>
<td>41.</td>
<td></td>
</tr>
<tr>
<td>42.</td>
<td></td>
</tr>
<tr>
<td>43.</td>
<td></td>
</tr>
<tr>
<td>44.</td>
<td></td>
</tr>
<tr>
<td>45.</td>
<td></td>
</tr>
<tr>
<td>46.</td>
<td></td>
</tr>
<tr>
<td>47.</td>
<td></td>
</tr>
<tr>
<td>48.</td>
<td></td>
</tr>
<tr>
<td>49.</td>
<td></td>
</tr>
<tr>
<td>50.</td>
<td></td>
</tr>
</tbody>
</table>
51. Total gross salaries and wages paid during the financial year

**Definition**
- Gross salaries and wages are payments for ordinary-time, standard or agreed hours and overtime hours during the financial year for all permanent, temporary, casual, managerial and executive employers and employees, before taxation and other deductions

<table>
<thead>
<tr>
<th>Include</th>
<th>Exclude</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Salaries and/or fees paid to directors, executives and managers</td>
<td>• Payments to subcontractors and consultants who are self-employed and not part of this enterprise (include in Question 40)</td>
</tr>
<tr>
<td>• Commissions paid</td>
<td>• Payments paid from abroad to employers or employees based in South Africa</td>
</tr>
<tr>
<td>• Employer’s contribution to pension, provident, medical aid, sick pay and other funds, e.g. Unemployment Insurance Fund and the Commissioner’s Compensation Fund</td>
<td>• Severance, termination and redundancy payments (Include in Question 49)</td>
</tr>
<tr>
<td>• Payments paid from South Africa to employers or employees based abroad</td>
<td>• Reimbursement of expenses, e.g. travel, entertainment, meals and other expenses</td>
</tr>
<tr>
<td>• Payments for all types of leave</td>
<td>• The imputed value of fringe benefits</td>
</tr>
<tr>
<td>• Incentive payments for piecework or profit-sharing schemes</td>
<td>• Fringe benefits tax</td>
</tr>
<tr>
<td>• Fringe benefits paid in cash, e.g. housing, mortgage and rent subsidies, and transport and cell phone allowances</td>
<td>• Employee stock options</td>
</tr>
<tr>
<td>• Allowances and penalty payments</td>
<td></td>
</tr>
<tr>
<td>• Value of any salary sacrificed</td>
<td></td>
</tr>
<tr>
<td>• Bonuses</td>
<td></td>
</tr>
<tr>
<td>• Payments that were made during the reference period but relate to other pay periods, e.g. annual leave, thirteenth cheque and leave gratuity payments</td>
<td></td>
</tr>
</tbody>
</table>

52. Travelling, accommodation and entertainment expenditure
53. Other expenditure .................................................................

**Include**
- Donations, bursaries and sponsorships
- Payments to outside organisations for staff training
- Professional services, audit services, legal expenses and data processing services
- Severance, termination and redundancy payments

Specify the nature and amount of the two largest items included in ‘Other expenditure’

<table>
<thead>
<tr>
<th>R ‘000</th>
<th>R ‘000</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

54. Total expenditure (Questions 25 to 53) .................................................................

**Part 6 – Profit or loss, company tax and dividends**

55. Net profit/loss before tax......................................................................................... R ‘000
    (Question 19 minus Question 22 plus Question 25 minus Question 54)

56. Income tax paid or provided for during this financial year ..................................... R ‘000

57. Cash dividends ....................................................................................................... R ‘000

58. Other dividends (capitalisation issues or scrip dividends/capitalisation shares) ........ R ‘000

59. Total dividends paid or provided for during this financial year
    (Question 57 plus Question 58) .................................................................................. R ‘000

**Part 7 – Assets and liabilities**
Note
• Report the total book value of assets and liabilities as at the end of the financial year

60. Current assets and investments ................................................................. R '000

Include
• Debtors, cash and other financial shares
• Closing stock

Exclude
• Fixed assets and intangible assets

61. Fixed assets and intangible assets ................................................................. R '000

Note
• Fixed assets and intangible assets must correspond with Question 66 column, (g) 1 to 9

62. Total assets (Question 60 plus Question 61) .................................................. R '000

63. Current liabilities ........................................................................................................ R '000

64. Long term liabilities (excluding owners’ equity/shareholders’ funds) .................. R '000

65. Owners’ equity (Total book value of shareholders’ funds) ................................. R '000

Part 8 – Book value of assets and capital expenditure

66. Book value of assets and capital expenditure on fixed assets and intangible assets
Notes

- The value of land and buildings must be shown separately and should be estimated, if necessary. (Exclude own private dwelling or living unit thereof.)
- Purchases of land and existing buildings and used plant, machinery and vehicles which were not imported, should be included in column (d)
- The value of used plant, machinery and vehicles imported by your enterprise or on your behalf, should be included in column (c)
- Major improvements, additions or extensions to fixed assets, machinery, transport equipment and structures, which improve their performances and increase their capacity or prolong their expected working lives, count as fixed capital formation and should be included in column (c)
- Intangible fixed assets are non-financial produced fixed assets that mainly consist of mineral exploration, computer software, entertainment, literary or artistic originals intended to be used for more than one year
- Intangible non-produced assets are assets that entitle their owners to engage in certain specific activities or to produce certain specific goods or services and to exclude other institutional units from doing so, except with the permission of the owner, e.g. patented entities or purchased goodwill

<table>
<thead>
<tr>
<th>Type of asset</th>
<th>Book value at the beginning of financial year according to balance sheet</th>
<th>Plus</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(b) R '000</td>
<td>(c) R '000</td>
</tr>
<tr>
<td>1. Land</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Residential buildings</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Non-residential buildings</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Computers and other IT Equipment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Transport equipment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Plant, machinery and other office equipment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Intangible fixed assets</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7.1 Computer software</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7.2 Other</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Intangible non-produced assets (goodwill, patented entities, etc.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Mineral and mining rights</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10. All other mining assets</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Plus</strong></td>
<td><strong>Plus or minus</strong></td>
<td><strong>Minus</strong></td>
</tr>
<tr>
<td>----------</td>
<td>------------------</td>
<td>-----------</td>
</tr>
<tr>
<td>Capital expenditure on the acquisition of land; <strong>used plant</strong>, machinery and vehicles; and transfers-in</td>
<td>Sales of assets(-), and revaluation and other adjustments to book value</td>
<td>Depreciation or amortisation during the year (not accumulative depreciation)</td>
</tr>
<tr>
<td>(d) R '000</td>
<td>(c) R '000</td>
<td>(f) R '000</td>
</tr>
</tbody>
</table>